

Please type a plus sign (+) inside this box ☐

PTO/SB/05 (2/98)  
Approved through 09/30/00. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

For new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No. 03543.0250-US01

First Named Inventor or Application Identifier Dawson, et al.

Title TOPICAL TREATMENT OR PREVENTION OF OC  
INFECTIONS

Express Mail Label No.

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for  
Box Patent Application  
Washington, DC 20231

1. ☒ \*Fee Transmittal Form (Form PTO-1082)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 30 ]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
- ☐ Drawing(s) (35 USC 113) [Total Sheets ]
- ☐ Oath or Declaration [Total Pages ]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and  
1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy  
of the oath or declaration is supplied under Box 4b, is considered  
as being part of the disclosure of the accompanying application  
and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above  
copies

**ACCOMPANYING APPLICATION PARTS**

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of  
(when there is an assignee) Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Two)  
(should be specifically itemized)
14. ☐ \*Small Entity ☐ Statement filed in  
Statement(s) prior application,  
Status still proper  
and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other:

\*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY  
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF  
ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).


**17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No: 09/282,165

Prior Application Information: Examiner: Group/Art Unit: 1623

**18. CORRESPONDENCE ADDRESS**

☐ Customer Number or Bar Code Label or ☒ Correspondence address below  
(Insert Customer No. or Attach bar code label here)

NAME	Docketing HOWREY & SIMON				
ADDRESS	Box No. 34 1299 Pennsylvania Avenue, N.W.				
CITY	Washington	STATE	DC	ZIP CODE	20004-2402
COUNTRY	US	TELEPHONE	202-783-0800	FAX	202-383-7195
Name (Print/Type)	Mark R. Buscher		Registration No. (Attorney/Agent)		35,006
Signature			Date	July 2, 1999	

**BOWREY & SIMON**  
**Box No. 34**  
**1299 Pennsylvania Avenue, N.W.**  
**Washington, D.C. 20004-2402**  
**(202) 783-0800**

Attorney Docket No. 03654.0250-US01

ASSISTANT COMMISSIONER FOR PATENTS  
 Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of  
 Inventors: CHANDLER R. DAWSON AND LYLE M. BOWMAN  
 For: TOPICAL TREATMENT OR PREVENTION OF OCULAR INFECTIONS

Enclosed are:

- ☒ Transmittal Letter (in duplicate)  
☒ Utility Patent Application Transmittal (in duplicate)  
☒ Continuation-In-Part Application consisting of 30 pages of specification

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
FOR	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	44-20 =	24
INDEP. CLAIMS	4-3 =	1
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED		

\*If the difference in Col. 1 is less than zero, enter "0" in Col. 2

SMALL ENTITY	
RATE	FEE
	\$ 380.00
x 9 =	
x 39 =	
+ 130 =	
TOTAL	

OTHER THAN A SMALL ENTITY	
RATE	FEE
	\$ 760.00
24x 18 =	432.00
1 x 78 =	78.00
+ 260 =	
TOTAL	\$ 1,270.00

☒ Please charge my Deposit Account No. 08-3038 in the amount of \$1,270.00. A duplicate copy of this sheet is attached.

☒ The U.S. Patent and Trademark Office is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 08-3038. A duplicate copy of this sheet is attached.

☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 37 CFR 1.17.


☐ The U.S. Patent and Trademark Office is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 08-3038. A duplicate copy of this sheet is enclosed.

☐ Any patent application processing fees under 37 CFR 1.17

☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

☐ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Date: July 2, 1999

  
 Mark R. Buscher  
 Reg. No. 35,00

July 2, 1999

***Box Patent Application***

Assistant Commissioner for Patents  
Washington, D.C. 20231

Re: New U.S. Non-Provisional Utility Patent Application  
Appl. No. (to be assigned); Filed: (herewith)  
Continuation of U.S. Appl. No. 09/282,165, filed: March 31, 1999  
For: TOPICAL TREATMENT OR PREVENTION OF  
OCULAR INFECTIONS  
Inventors: Chandler R. Dawson and Lyle M. Bowman  
Our Ref: 03654.0250-US01

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is a continuation-in-part application of prior Appl. No. 09/282,165, consisting of the following documents:

1. Utility Patent Application Transmittal Form PTO/SB/05;
2. Form PTO-1082;
3. U.S. Utility Patent Application, entitled:

TOPICAL TREATMENT OR PREVENTION OF  
OCULAR INFECTIONS

and naming as inventor(s): Chandler R. Dawson and Lyle M. Bowman

the application comprising:

- a. The specification containing:
  - (i) 22 pages of description prior to the claims;

Assistant Commissioner for Patents

July 2, 1999

Page 2

(ii) 7 pages of claims (44 claims); and

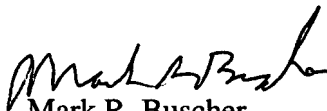
(iii) a one (1) page abstract;

4. Two (2) return post cards.

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 08-3038. A duplicate copy of this letter is enclosed.

Respectfully submitted,



Mark R. Buscher

Reg. No. 35,006

Enclosures